

EXAMINER:

GROUP:

APPLICANT: Laurence Gainsborough

SERIAL NO: 09/922,007

FILED: August 6, 2001

FOR: APPARATUS AND METHOD OF CONTROL OF UNTRULY PERSONS



Commissioner of Patents  
Washington, D.C., 20231  
U.S.A.

Dear Sir:

Small Entity Statement under 37 CFR 1.27

Laurence Gainsborough, whose full post office address is 720 Forbes Road, Winnipeg, Manitoba, Canada, R2N 4B2, as named inventor declares that he is qualified as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above.

Respectfully submitted

LAURENCE GAINSBOROUGH

PER:

Adrian D. Battison  
Registration No: 31,726

ADB/II

November 12, 2001

Adrian D. Battison      Winnipeg, Manitoba, Canada  
                            Telephone (204) 947-1429 - FAX (204) 942-5723



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,007	08/06/2001	Laurence Gainsborough	85029-102



CONFIRMATION NO. 6898  
 FORMALITIES LETTER



\*OC000000006555577\*

ADRIAN D. BATTISON ADE & COMPANY  
 1700-360 Main Street  
 Winnipeg MB, R3C 3Z3  
 CANADA

Date Mailed: 09/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

*Sandy*  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/15/2001 GTEFFERA 00000006 09922007

01 FC:201  
 02 FC:205

370.00 OP  
 65.00 OP

86  
Sector 8  
Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.  
85029-102 JAB

In Re Application Of: Laurence Gainsborough



Serial No.  
09/922,007

Filing Date  
August 6, 2001

Examiner

Group Art Unit  
2613

Invention:

**APPARATUS AND METHOD OF CONTROL OF UNRULY PERSONS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
September 14, 2001  
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- 1 verified small entity declaration(s)
- is/are attached.
- was/were filed on \_\_\_\_\_
- A separate request for refund.
- Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
85029-102 JAB

In Re Application Of: **Laurence Gainsborough**

Serial No.  
09/922,007

Filing Date  
August 6, 2001

Examiner

Group Art Unit  
2613

Invention:

**APPARATUS AND METHOD OF CONTROL OF UNRULY PERSONS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

Completion of application fees as calculated below:

Utility application filing fee \$370.00

Design application filing fee \_\_\_\_\_

Total number of independent claims = 2 \$0.00

Total number of claims = 15 \_\_\_\_\_

Multiple dependent claims \_\_\_\_\_

Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

Fee for processing an application filed with a non-English language specification \_\_\_\_\_

Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$435.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month  Two months  Three months  Four months  Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
*Date* *Date*

Total time extension fees \_\_\_\_\_

Total fees due \$435.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
85029-102 JAB

In Re Application Of: **Laurence Gainsbor ugh**

Serial No.	Filing Date	Examiner	Group Art Unit
09/922,007	August 6, 2001		2613

Invention:

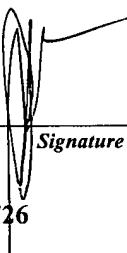
**APPARATUS AND METHOD OF CONTROL OF UNRULY PERSONS**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

The fee of **\$435.00** is to be paid as follows:

- A <sup>11.0</sup> check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.
- A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **01-0310**
- A duplicate copy of this sheet is enclosed.



*Signature*

**Adrian D. Battison**  
Registration No: 31,726

**ADB/ll**

Dated: **November 12, 2001**

I certify that this document and fee is being deposited on **November 12, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

**CC:**